

IN THE MATTER OF
THE *SECURITIES ACT*, RSNS 1989, C 418, AS AMENDED (the Act)

- and -

IN THE MATTER OF YUNFU JIANG (the Respondent)

NOTICE OF PRE-HEARING CONFERENCE

(Part 9 of Rule 15-501 General Rules of Practice and Procedure)

TAKE NOTICE that the Nova Scotia Securities Commission (Commission) will hold a pre-hearing conference pursuant to part 9 of Rule 15-501 General Rules of Practice and Procedure via videoconference on Tuesday, the 19th day of September, 2023, at 10:00 a.m. or so soon thereafter as the pre-hearing conference can be held;

AND TAKE NOTICE that the pre-hearing conference shall not be open to the public;

AND TAKE NOTICE that the purpose of the pre-hearing conference will be for the Commission to consider the following:

1. Hearing and evidence format;
2. Hearing dates and filing deadlines; and
3. Such other matters pertaining to the conduct of the hearing as the parties may request and as the Commission considers appropriate;

AND TAKE NOTICE that any party to the proceeding may attend in person or be represented by legal counsel or an authorized agent;

AND TAKE NOTICE that upon the failure of any party to attend at the pre-hearing conference, the pre-hearing conference may proceed in the absence of that party and such party is not entitled to any further notice of the proceeding;

AND TAKE NOTICE that orders may be made by the Commission at the pre-hearing conference which will be binding on the parties with respect to the conduct of the hearing.

DATED at Halifax, Nova Scotia, this 9th day of August, 2023.

NOVA SCOTIA SECURITIES COMMISSION

A handwritten signature in black ink, appearing to be 'DH', with a horizontal line extending to the right and a small dot at the end.

Doug Harris, Secretary