

Blanket Order No. 15-503

IN THE MATTER OF THE *SECURITIES ACT*,  
R.S.N.S. 1989, CHAPTER 418, AS AMENDED (the Act)

-AND-

IN THE MATTER OF  
THE SANCTION PAYMENT STATUS REPORT

ORDER  
(Clause 19(1)(c))

WHEREAS:

1. Pursuant to clause 19(1)(c) of the Act, the Commission may publish decisions of the Commission and rulings, orders, motions and other information which the Commission considers ought to be published.
2. The Commission publishes on its website decisions, orders and other information relating to enforcement proceedings before the Commission.
3. The Commission has decided that it will publish on its website the Sanction Payment Status Report (the Report). The Report sets out the names of the respondents who have been ordered to pay to the Commission administrative penalties, disgorgement orders and/or costs imposed in enforcement proceedings before the Commission; the original amount owing under the order; and whether the amount owing is paid, partially paid or unpaid (the Sanction Information). The Sanction Information will be updated quarterly as at March 31, June 30, September 30 and December 31 of each year.
4. The Commission's mandate is to protect investors and the integrity of the securities markets, and the Commission considers it to be consistent with this mandate and in the public interest to make the Sanction Information readily available.

IT IS ORDERED pursuant to clause 19(1)(c) of the Act that the Commission publish the Report containing the Sanction Information on its website and update the Report quarterly unless otherwise ordered by the Commission.

DATED at Halifax, Nova Scotia, this 22nd day of October, 2014.



---

Sarah P. Bradley, Chair



---

Paul Radford, QC, Vice-chair