

IN THE MATTER OF THE SECURITIES ACT  
R.S.N.S. 1989, CHAPTER 418, AS AMENDED (the Act)

- AND -

IN THE MATTER OF VINCENT GEORGE BYRNE (the Respondent)

- AND -

THE APPLICATION OF NOVA SCOTIA SECURITIES COMMISSION STAFF  
UNDER SECTION 134 OF THE ACT

**ORDER**

(Section 134)

**WHEREAS** on December 23, 2016, the Nova Scotia Securities Commission issued a Temporary Order requiring the Respondent to cease acting as a registered representative, registered dealer, registered adviser or registered investment fund manager in contravention of section 31 of the Act, and to cease trading in any securities beneficially owned by anyone other than himself, for a period of 15 days;

**AND WHEREAS** the Commission issued a Notice of Hearing pursuant to section 134(5) of the Act on December 23, 2016, scheduling a hearing on January 6, 2016 to review the Temporary Order;

**AND WHEREAS** on December 28, 2016, a written application made on behalf of the Director of Enforcement of the Commission, was submitted to the Commission to consider whether it is necessary and in the public interest to extend the Temporary Order for the purpose of continuing the investigation into the Respondent;

**AND WHEREAS** on January 3, 2017, counsel for the Respondent provided a response regarding the application to extend the Temporary Order;

**AND WHEREAS** the Commission considers it necessary and in the public interest to make this order;

**IT IS HEREBY ORDERED THAT** pursuant to section 134(3) of the Act, that the Temporary Order be extended and specifically that:

1. pursuant to section 134(1)(a)(i) of the Act, the Respondent cease acting as a registered representative, registered dealer, registered adviser or registered investment fund manager in contravention of section 31 of the Act; and

2. pursuant to section 134(1)(b)(ii) of the Act, the Respondent cease trading in any securities beneficially owned by anyone other than himself;

until January 31, 2017 or such other date as the Commission orders pursuant to a hearing of an application for a further extension of the temporary order or a hearing of the adjourned notice of hearing and review.

**IMPORTANT:** This Order may form the basis for parallel orders in other jurisdictions in Canada. The securities laws of some other Canadian jurisdictions may allow orders made in this matter to take effect in those other jurisdictions automatically, without further notice to you. You should contact the securities regulator of any other jurisdiction in which you may intend to engage in any securities related activities, prior to undertaking such activities.

**DATED** at Halifax, Nova Scotia, this 6 day of January, 2017.

**NOVA SCOTIA SECURITIES COMMISSION**



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Paul Radford, Q.C.  
Chair