

**CANADIAN SECURITIES ADMINISTRATORS NOTICE 11-313  
WITHDRAWAL OF NOTICES AND POLICIES**

February 19, 2010

This notice formally withdraws a number of CSA and local notices and policies. In general, the withdrawn material will remain available for historical research purposes in the CSA members' websites that permit comprehensive access to CSA notices.

**CSA Notices**

Staff of the members of the CSA have reviewed a number of CSA Notices. They have determined that some are outdated, no longer relevant, or no longer required. The following CSA Notices are therefore withdrawn, in the applicable CSA jurisdictions in which they have not already been withdrawn, effective immediately.

- 11-303 *The Uniform Securities Legislation Project*
- 11-304 *Responses to Comments Received on Concept Proposal Blueprint for Uniform Securities Laws for Canada*
- 11-306 *Extension of Comment Period for Consultation Drafts of the Uniform Securities Act and the Model Securities Administration Act*
- 11-307 *Responses to Comments Received on Consultation Drafts for a Uniform Securities Act and a Model Securities Administration Act*
- 11-308 *Guidelines for Use of Mobility Exemptions Under Part 5 of Multilateral Instrument 11-101 Principal Regulator System*
- 11-402 *Concept Proposal for Uniform Securities Legislation*
- 11-404 *Consultation Drafts of the Uniform Securities Act and the Model Administration Act*
- 12-303 *Exemptive Relief Applications and Year End*
- 12-401 *National Application System Concept Proposal*
- 13-306 *Guidance for SEDAR Users*

- 13-307 *Notice of Amendments to the SEDAR Filer Manual*
- 13-308 *Increases to SEDAR Annual Filing Service Charges*
- 13-314 *2005 Changes to SEDAR Annual Filing Service Charges*
- 13-316 *Amendments to the SEDAR Filer Manual*
- 21-301 *Canadian Venture Exchange*
- 21-302 *Confidentiality of Forms Filed under National Instrument 21-101 Marketplace Operation*
- 23-305 *Status of the Transaction Reporting and Electronic Audit Trail System (TREATS)*
- 31-308 *Frequently Asked Questions Regarding NI 31-101 National Registration System and NP 31-201 National Registration System*
- 31-309 *Proposed National Instrument 31-103 Registration Requirements and Proposed Companion Policy 31-103CP Registration Requirements*
- 31-310 *Proposed NI 31-103 Registration Requirements and Proposed 31-103CP Registration Requirements*
- 33-304 *CSA Distribution Structures Committee Position Paper*
- 33-307 *List of Canadian Registrant and Non-registrant Firms that Completed the CSA STP Readiness Assessment Survey*
- 33-401 *Canadian Capital Market Association – T+1 White Paper*
- 33-402 *Joint Forum Requests Comments on Principles and Practices for the Sale of Products and Services in the Financial Sector*
- 41-304 *Income Trusts: Prospectus Disclosure of Distributable Cash*
- 43-305 *CSA Mining Technical Advisory and Monitoring Committee*
- 44-401 *Concept Proposal for an Integrated Disclosure System*
- 45-302 *Frequently Asked Questions Regarding the Resale Rules*
- 45-305 *Frequently Asked Questions Regarding National Instrument 45-106 Prospectus and Registration Exemptions*

- 46-302 *Consent to Amend Existing Escrow Agreements*
- 51-301 *Conversion of Corporate Issuers to Trusts*
- 51-305 *Canadian Capital Markets Association - Corporate Actions and Other Entitlements White Paper - October 2002*
- 51-315 *Guidance Regarding the Determination of Constant Prices for Bitumen Reserves under National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities*
- 51-401 *Concept Proposal for an Integrated Disclosure System*
- 51-402 *Illegal Insider Trading in Canada: Recommendations on Prevention, Detection and Deterrence Report*
- 52-319 *Status of Proposed Repeal and Replacement of Multilateral Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings*
- 52-401 *Discussion Paper – Financial Reporting in Canada's Capital Markets*
- 58-301 *Extension of Comment Period for Proposed Multilateral Policy 58-201 Effective Corporate Governance and Proposed Multilateral Instrument 58-101 Disclosure Of Corporate Governance Practices*
- 58-302 *Implementation of Corporate Governance Policy and Related Disclosure Instrument*
- 58-304 *Review of National Instrument 58-101 Disclosure of Corporate Governance Practices and National Policy 58-201 Corporate Governance Guidelines*
- 62-201 *Bids Made Only in Certain Jurisdictions*
- 62-301 *Implementation of the Zimmerman Amendments Governing the Conduct of Take-over and Issuer Bids*
- 62-303 *Identifying the Offeror in a Take-over Bid*
- 62-304 *Conditions in Financing Arrangements for Take-over Bids and Issuer Bids*
- 72-301 *Distributions Outside the Local Jurisdiction Proposed Multilateral Instrument 72-101*
- 81-310 *Frequently Asked Questions – Fund of Fund Amendments*

## **ASC Notices and Policies**

Staff of the Alberta Securities Commission have reviewed a number of ASC Notices and Policies. They have determined that some are outdated, no longer relevant, or no longer required. The following ASC Notices and Policies are therefore withdrawn, effective immediately.

- 22-701 *Notice of Public Forum to Discuss “Nets” and Market Fragmentation*
- 33-601 *Surrender of Registration and Rescission of Uniform Act Policy No. 2-07*
- 10 *Cease Trade Orders Issued Due to Delinquency In Filing Financial Statements*
- 57-603 *Defaulting Reporting Issuers – OSC Proposed Policy 57–603*

## **OSC Notices**

Staff of the Ontario Securities Commission have reviewed a number of OSC Notices. They have determined that some are outdated, no longer relevant, or no longer required. The following OSC Notices are therefore withdrawn, effective immediately.

- 11-721 *Policy Reformulation Table of Concordance and List of New Instruments*
- 11-725 *Policy Reformulation Table of Concordance and List of New Instruments*
- 11-726 *Assignment of Policy Numbers*
- 11-727 *Assignment of Policy Numbers*
- 11-730 *Policy Reformulation Table of Concordance and List of New Instruments*
- 11-731 *Policy Reformulation Table of Concordance and List of New Instruments*
- 11-732 *Proposal for the Ontario Securities Administration Act*
- 11-733 *Policy Reformulation Table of Concordance and List of New Instruments*
- 11-734 *Policy Reformulation Table of Concordance and List of New Instruments*
- 11-735 *IOSCO and International Joint Forum Publish Reports on Outsourcing of Financial Services for Public Comment*
- 11-736 *North American Securities Administrators Association (NASAA) Seeks Public Comment on Proposal to Extend the Model Secondary Market Trading Exemption for Qualifying Canadian Securities to TSX Venture Exchange*

- 11-738 *IOSCO Seeks Public Comment on Draft Code of Conduct Fundamentals for Credit Rating Agencies*
- 11-740 *International Joint Forum Publishes Consultation Report on Credit Risk Transfer*
- 11-741 *IOSCO Publishes Draft Consultation Policy and Procedures for Public Comment*
- 11-743 *IOSCO Publishes Consultation Report Concerning Governance of Collective Investment Schemes*
- 11-744 *IOSCO and International Joint Forum Publish Final Recommendations about Outsourcing of Financial Services*
- 11-745 *IOSCO Publishes for Consultation Best Practices Standards on Anti-Market Timing and Anti-Money Laundering Guidance for Collective Investment Schemes*
- 11-746 *IOSCO Publishes Consultation Report: Policies on Error Trades*
- 11-747 *IOSCO and Basel Committee Publish Consultation Document on the Application of Basel II to Trading Activities and the Treatment of Double Default Effects*
- 11-748 *IOSCO Publishes a Discussion Paper of the Compliance Function at Market Intermediaries*
- 11-749 *International Joint Forum Publishes Final Report on Credit Risk Transfer*
- 11-750 *IOSCO Releases Survey Report on the Regulation and Oversight of Auditors*
- 11-751 *IOSCO Finalizes Consultation Policy and Procedures*
- 45-706 *OSC Small Business Advisory Committee*
- 51-703 *Implementation of Reporting Issuer Continuous Disclosure Review Program, Corporate Finance Branch*
- 51-704 *Office of the Chief Accountant MD&A Guide*
- 51-708 *Continuous Disclosure Review Program Report – August 2002*
- 51-712 *Corporate Finance Review Program Report – August 2003*
- 51-715 *Corporate Finance Review Program Report – October 2004*

52-715 *CICA Assurance Standards Board Exposure Draft – Auditor Assistance to Underwriters and Others*

52-716 *Filing Extensions for Continuous Disclosure Financial Statements*

### **AMF Notices**

Staff of the Autorité des marchés financiers have reviewed a number of AMF Notices. They have determined that some are outdated, no longer relevant, or no longer required. The following AMF Notices are therefore withdrawn, effective immediately.

CVMQ notice dated March 9, 2001 *Offres publiques – Entrée en vigueur dans certaines provinces le 31 mars 2001 de modifications concernant les règles de conduite des offres publiques – la situation au Québec*

CVMQ notice dated March 7, 2003 *Forum conjoint des autorités de réglementation du marché financier – Projet de principes et pratiques relatifs à la vente de produits et services dans le secteur financier*

Questions regarding this notice may be directed to:

Noreen Bent  
British Columbia Securities Commission  
Tel: (604) 899-6741  
nbent@bcsc.bc.ca

Simon Thompson  
Ontario Securities Commission  
Tel: (416) 593-8261  
sthompson@osc.gov.on.ca

Kari Horn  
Alberta Securities Commission  
Tel: (403) 297-4698  
kari.horn@asc.ca

Sylvia Pateras  
Autorité des marchés financiers  
Tel: (514) 395-0558, extension 2536  
sylvia.pateras@lautorite.qc.ca

Manon Losier  
New Brunswick Securities Commission  
Tel: (506) 643-7690  
manon.losier@nbsc-cvmnb.ca

Barbara Shourounis  
Saskatchewan Financial Services Commission  
Tel: (306) 787-5842  
bshourounis@sfsc.gov.sk.ca

Chris Besko  
The Manitoba Securities Commission  
Tel: (204) 945-2561  
Chris.Besko@gov.mb.ca

Shirley Lee  
Nova Scotia Securities Commission  
Tel: (902) 424-5441  
leesp@gov.ns.ca