

## CSA Staff Notice 11-323

### *Withdrawal of Notices and Policies*

**September 12, 2013**

This notice formally withdraws a number of CSA and local notices and policies. In general, the withdrawn material will remain available for historical research purposes in the CSA members' websites that permit comprehensive access to CSA notices.

#### **CSA Notices and Policies**

Staff of the members of the CSA have reviewed a number of CSA Notices and Policies. They have determined that some are outdated, no longer relevant or no longer required. The following CSA Notices and Policy are therefore withdrawn, in the applicable CSA jurisdictions in which they have not already been withdrawn, effective immediately.

- 4            *CSA Notice No. 4: Bought Deals*
- 2-13        *Uniform Act Policy No. 2-13: Advertising During the Waiting Period between Preliminary and Final Prospectuses*
- 11-311     *Notice Of Extension Of Comment Period* – this notice extends the comment period from December 20, 2008 to February 16, 2009 for CSA Consultation Paper 11-405 Securities Regulatory Proposals Stemming from the 2007-08 Credit Market Turmoil and its Effect on the ABCP Market in Canada
- 11-315     *Extension of Consultation Period* – this notice extends the comment period from July 1, 2011 to August 31, 2011 for Proposed National Instrument 41-103 *Supplementary Prospectus Disclosure Requirements for Securitized Products*, Proposed National Instrument 51-106 *Continuous Disclosure Requirements for Securitized Products*, and Proposed Amendments to National Instrument 52-109 *Certification of Disclosure in Issuers' Annual and Interim Filings* Proposed Amendments to National Instrument 45-106 *Prospectus and Registration Exemptions* and National Instrument 45-102 *Resale of Securities*
- 21-305     *Extension of Approval of Information Processor for Corporate Fixed Income Securities* – this notice extends CanPX approval from April 30, 2007 to December 31, 2007
- 21-307     *Extension of approval of information processor For corporate fixed income securities* – this notice extends CanPX approval from December 31, 2007 to December 31, 2008
- 21-308     *Update on Applications to Become an Information Processor* – this notice provides an update on the information processor issue and extends CanPX approval from December 31, 2008 to June 30, 2009

- 24-307 *Exemption From Transitional Rule – this notice extends the transitional phase-in period in National Instrument 24-101 Institutional Trade Matching And Settlement to July 1, 2010*
- 31-201 *National Policy 31-201: National Registration System*
- 31-312 *Exempt Market Dealer Category under National Instrument 31-103 Registration Requirements and Exemptions*
- 31-316 *Blanket Order Exempting Persons and Companies from the Requirement to Register when Trading in Short-term Debt Instruments*
- 31-318 *Omnibus / Blanket Order Exempting Mortgage Investment Entities from the Requirement to Register as Investment Fund Managers and Advisers*
- 31-319 *Further Omnibus / Blanket Orders Exempting Registrants from Certain Provisions of National Instrument 31-103 Registration Requirements and Exemptions*
- 31-322 *Extension of Omnibus / Blanket Order Exempting Mortgage Investment Entities from the Requirement to Register as Investment Fund Managers and Advisers*
- 31-402 *Registration Forms Relating to the National Registration Database*
- 33-308 *The CSA STP Readiness Assessment Survey Report is now available on the CVMQ Website*
- 33-312 *The CSA STP Readiness Assessment Survey Report is now available on the OSC Website*
- 33-313 *International Financial Reporting Standards and Registrants*
- 33-314 *International Financial Reporting Standards and Registrants*
- 44-302 *Replacement of National Instrument 44-101 Short Form Prospectus Distributions*
- 51-326 *Continuous Disclosure Review Program Activities for Fiscal 2008*
- 51-329 *Continuous Disclosure Review Program Activities for the fiscal year ended March 31, 2009*

## **ASC Notices**

Staff of the Alberta Securities Commission have reviewed a number of ASC Notices. They have determined that the following notices are to be withdrawn, effective immediately.

- 3.1 *De Minimis Rights Offering Exemption – Recognition of the Laws of Jurisdictions*
- 3.2 *Recognition of Jurisdictions Under Section 132(1)(e) and 133(h) of Part 11 of the Act*
- 9 *Dated March Statement of Financial Position Required by Section 74(2) of the Regulations*
- 24 *Filing Confidential Material Change Reports*
- 26 *Late Filing of Financial Statements*
- 30 *Securities Advisory Committee to the ASC*
- 33 *Disruption of Mail Service*
- 34 *Selective Review of Prospectus Filings*
- 35 *Sale of Undivided Interests in Land*
- 37 *Compliance with Information Requests from Stock Exchanges*
- 39 *ASC to Cease Issuing Section 141 Certificates (06/09/06)*

## **AMF Notices**

Staff of the Autorité des marchés financiers have reviewed a number of AMF Notices. They have determined that the following notices are to be withdrawn, effective immediately.

*Business Continuity Planning – Industry Testing Exercise*  
(dated February 8, 2011)

*Loi modifiant la Loi sur les valeurs mobilières et d'autres dispositions législatives (2006, c. 50) - Entrée en vigueur de certaines dispositions (découlant du Règlement sur l'information continue des fonds d'investissement en capital de développement)*  
(dated May 30, 2008)

*Abrogation de l'article 99 de la Loi sur les valeurs mobilières*  
(dated May 30, 2008)

*Reverse Takeovers*  
(dated July 7, 2006)

*Contrôles relatifs à la tenue des dossiers concernant les souscriptions ou rachats  
de titres d'organismes de placement collectif*  
(dated December 19, 2003)

## **FCSC Notices**

Staff of the Financial and Consumer Services Commission (New Brunswick) have reviewed a number of FCSC Notices. They have determined that the following notice is to be withdrawn, effective immediately.

32-702      *Exemption from Filing Requirements for NRS Users*

## **Questions**

Please refer your questions to any of the following people:

Mathieu Laberge  
Autorité des marchés financiers  
Tel: 514-395-0337, extension 2537  
mathieu.laberge@lautorite.qc.ca

Noreen Bent  
British Columbia Securities Commission  
Tel: 604-899-6741  
Nbent@bcsc.bc.ca

Simon Thompson  
Ontario Securities Commission  
Tel: 416-593-8261  
sthompson@osc.gov.on.ca

Kari Horn  
Alberta Securities Commission  
Tel: 403-297-4698  
kari.horn@asc.ca

Suzanne Ball  
Financial and Consumer Services  
Commission (New Brunswick)  
Tel: 506-643-7698  
Suzanne.Ball@fcnb.ca

Dean Murrison  
Financial and Consumer Affairs Authority of  
Saskatchewan  
Tel: 306-787-5879  
dean.murrison@gov.sk.ca

Chris Besko  
The Manitoba Securities Commission  
Tel: 204-945-2561  
Chris.Besko@gov.mb.ca

Shirley Lee  
Nova Scotia Securities Commission  
Tel: 902-424-5441  
leesp@gov.ns.ca