

Notice No. 72

IN THE MATTER OF THE SECURITIES ACT,
R.S.N.S. 1989, CHAPTER 418, AS AMENDED

- AND -

IN THE MATTER OF
RULE 33-102 REGULATION OF CERTAIN REGISTRANT ACTIVITIES

- AND -

IN THE MATTER OF
POLICY STATEMENT NO. 2.1 TRADING BY FINANCIAL INSTITUTIONS
AND THEIR SUBSIDIARIES AND AFFILIATES
IN FINANCIAL INSTITUTION SPONSORED MUTUAL FUNDS

NOTICE

WHEREAS:

1. On October 3, 2001, the Commission approved Rule 33-102 Regulation of Certain Registrant Activities (the "Rule") which adopted as a rule National Instrument 33-102 Regulation of Certain Registrant Activities ("NI 33-102");
2. The Rule became effective on January 1st, 2002;
3. NI 33-102 and Companion Policy 33-102CP replaced, *inter alia*, Principle of Regulation 1- Re: Distribution of Mutual Funds by Financial Institutions (the "Principle");
4. The Principle was rescinded by the Rule;
5. Policy Statement No. 2.1 Trading by Financial Institutions and Their Subsidiaries and Affiliates in Financial Institution Sponsored Mutual Funds (the "Local Policy") was adopted by the Commission to implement the Principle in Nova Scotia;
6. Blanket Order No. 6 (the "Blanket Order") was issued by the Commission to

implement the Principle and the Local Policy in Nova Scotia; and

7. Since the Rule has replaced the Principle, the Local Policy and the Blanket Order are no longer required and can be repealed and revoked, respectively.

NOTICE IS HEREBY GIVEN THAT:

1. The Commission repeals the Local Policy ; and
2. The Commission has issued Blanket Order No. 6 (Revocation), a copy of which is attached, which revokes the Blanket Order.

DATED AT Halifax, Nova Scotia, this 15th day of February, 2002.

NOVA SCOTIA SECURITIES COMMISSION

“Robert B. MacLellan”

Robert B. MacLellan

“H. Leslie O’Brien”

H. Leslie O’Brien

Blanket Order No. 6 (Revocation)

IN THE MATTER OF THE SECURITIES ACT,
R.S.N.S. 1989, CHAPTER 418, AS AMENDED

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RULE 33-102 REGULATION OF CERTAIN REGISTRANT ACTIVITIES

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IN THE MATTER OF
POLICY STATEMENT NO. 2.1 TRADING BY FINANCIAL INSTITUTIONS
AND THEIR SUBSIDIARIES AND AFFILIATES
IN FINANCIAL INSTITUTION SPONSORED MUTUAL FUNDS

ORDER
(Section 151)

WHEREAS:

1. On October 3, 2001, the Commission approved Rule 33-102 Regulation of Certain Registrant Activities (the "Rule") which adopted as a rule National Instrument 33-102 Regulation of Certain Registrant Activities ("NI 33-102");
2. The Rule became effective on January 1st, 2002;
3. NI 33-102 and Companion Policy 33-102CP replaced, *inter alia*, Principle of Regulation 1- Re: Distribution of Mutual Funds by Financial Institutions (the "Principle");
4. The Principle was rescinded by the Rule;
5. Policy Statement No. 2.1 Trading by Financial Institutions and Their Subsidiaries and Affiliates in Financial Institution Sponsored Mutual Funds (the "Local Policy") was adopted by the Commission to implement the Principle in Nova Scotia;
6. Blanket Order No. 6 (the "Blanket Order") was issued by the Commission to

implement the Principle and the Local Policy in Nova Scotia;

7. Since the Rule has replaced the Principle, the Blanket Order is no longer required and can be revoked;
8. Pursuant to section 151 of the Act, the Commission may, where in its opinion to do so would not be prejudicial to the public interest, make an order revoking any decision made under the Act; and
9. The Commission is of the opinion to do so would not be prejudicial to the public interest.

IT IS ORDERED PURSUANT to section 151 of the Act that the Blanket Order is revoked.

DATED AT Halifax, Nova Scotia, this 15th day of February, 2002.

NOVA SCOTIA SECURITIES COMMISSION

"Robert B. MacLellan"

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"H. Leslie O'Brien"

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