

Notice No. 27B

NOVA SCOTIA SECURITIES COMMISSION

IN THE MATTER OF THE SECURITIES ACT,  
R.S.N.S. 1989, C. 418, AS AMENDED (the "Act")

- AND-

IN THE MATTER OF  
AN APPLICATION BY A REGISTERED DEALER OR  
REGISTERED ADVISOR FOR ACCEPTANCE OF THE  
VOLUNTARY SURRENDER OF ITS REGISTRATION

NOTICE

WHEREAS the Nova Scotia Securities Commission (the "Commission") issued Notice No. 27 dated December 5, 1990 which provides the procedure to be adopted by a registered dealer when making an application to the Commission pursuant to section 33 of the Act to accept the voluntary surrender of the registration of the dealer;

AND WHEREAS the Commission issued Notice No. 27A dated July 3, 1992 in relation to two other situations under which a registrant may apply to the Commission pursuant to section 33 of the Act to either surrender or cancel its registration;

AND WHEREAS Subsections 33(1) to (3) of the Act were repealed and replaced by section 17 of Statutes N.S. 2006, c. 46 (the "Amendments") and the Amendments were proclaimed in force on February 6, 2007;

AND WHEREAS the Amendments provide that the application for surrender of registration by a registrant may be accepted by the Director subject to such terms and conditions as the Director may impose, unless the Director considers it prejudicial to the public interest to do so;

TAKE NOTICE THAT as a result of the Amendments to the Act, the Commission repeals the following Notices:

- 1) Notice No. 27 dated December 5, 1990; and
- 2) Notice No. 27A dated July 3, 1992.

Dated at Halifax, Nova Scotia this 25<sup>th</sup> day of July, 2007.

“H. Leslie O’Brien”

H. Leslie O’Brien, Q.C., Chair  
Nova Scotia Securities Commission

“R. Daren Baxter”

R. Daren Baxter, Vice-Chair  
Nova Scotia Securities Commission